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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--------------------------------|--------------------|----------------------|-------------------------|------------------|
| 09/659,551 | 09/11/2000 | Isao Echizen | 58799-024 | 4689 |
| . 75 | 590 07/08/2003 | | | |
| McDermott Will & Emery | | | EXAMINER | |
| 600 13th Street Washington, De | NW C 20005-3096 | | ELISCA, PIERRE E | |
| | | | ART UNIT | PAPER NUMBER |
| | | | 3621 | |
| | | | DATE MAILED: 07/08/2003 | • |

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No. 09/659,551

Applicant(s)

Isao Echizen et al.

Examiner

Pierre E. Elisca

t Unit **3621**



| | The MAILING DATE of this communication appears | on the cover sheet with the correspondence address | | | |
|--|--|--|--|--|--|
| A SH | for Reply ORTENED STATUTORY PERIOD FOR REPLY IS SET MAILING DATE OF THIS COMMUNICATION. | TO EXPIRE <u>THREE</u> MONTH(S) FROM | | | |
| | ions of time may be available under the provisions of 37 CFR 1.136 (a). In a date of this communication. | no event, however, may a reply be timely filed after SIX (6) MONTHS from the | | | |
| - If the p - If NO p - Failure - Any re | period for reply specified above is less than thirty (30) days, a reply within th | nd will expire SIX (6) MONTHS from the mailing date of this communication. e application to become ABANDONED (35 U.S.C. § 133). | | | |
| Status | , | , , | | | |
| 1) 💢 | Responsive to communication(s) filed on | /28/2003 | | | |
| 2a) 🗌 | This action is FINAL . 2b) \(\overline{\text{Z}}\) This act | on is non-final. | | | |
| 3) 🗆 | Since this application is in condition for allowance e closed in accordance with the practice under Ex pair | xcept for formal matters, prosecution as to the merits is recovery terms of the contract of th | | | |
| Disposi | tion of Claims | | | | |
| 4) 💢 | Claim(s) | is/are pending in the application. | | | |
| 4 | la) Of the above, claim(s) | is/are withdrawn from consideration. | | | |
| 5) 🗆 | Claim(s) | is/are allowed. | | | |
| 6) 🛮 | Claim(s) 15-30 Ans 32- 44 | is/are rejected. | | | |
| 7) 🔯 | Claim(s) 3/ | is/are objected to. | | | |
| | | are subject to restriction and/or election requirement. | | | |
| Applica | tion Papers | | | | |
| 9) 🗆 | The specification is objected to by the Examiner. | | | | |
| 10) | The drawing(s) filed on is/are | a) \square accepted or b) \square objected to by the Examiner. | | | |
| | Applicant may not request that any objection to the d | rawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | |
| 11) 🗌 | 11) \square The proposed drawing correction filed on is: a) \square approved b) \square disapproved by the Examine | | | | |
| | If approved, corrected drawings are required in reply t | o this Office action. | | | |
| 12) | The oath or declaration is objected to by the Exami | ner. | | | |
| Priority | under 35 U.S.C. §§ 119 and 120 | | | | |
| 13) Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). | | | | | |
| a) 🗆 | ☐ All b)☐ Some* c)☐ None of: | | | | |
| | 1. \square Certified copies of the priority documents hav | e been received. | | | |
| | 2. Certified copies of the priority documents hav | e been received in Application No | | | |
| | application from the International Bure | | | | |
| *S | ee the attached detailed Office action for a list of the | e certified copies not received. | | | |
| 14)∐_ | Acknowledgement is made of a claim for domestic | | | | |
| | The translation of the foreign language provisiona | | | | |
| | Acknowledgement is made of a claim for domestic | priority under 35 U.S.C. §§ 120 and/or 121. | | | |
| Attachm | ent(s) tice of References Cited (PTO-892) | 4) | | | |
| | · · · · · · · · · · · · · · · · · · · | 4) Interview Summary (PTO-413) Paper No(s). 5) Notice of Informal Patent Application (PTO-152) | | | |
| | 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) Notice of Informal Patent Application (PTO-152) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s). 6) Other: | | | | |
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Application/Control Number: 09/659,551 Page 2

Art Unit: 3621

DETAILED ACTION

RESPONSE TO AMENDMENT

- 1. This Office action is in response to Applicant's amendment filed on 04/28/2003.
- 2. Claims 35-24 are presented for examination.

Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371© of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) do not apply to the examination of this application as the application being examined was not (1) filed on or after November 29, 2000, or (2) voluntarily published under 35 U.S.C. 122(b). Therefore, this application is examined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

Application/Control Number: 09/659,551

Art Unit: 3621

4. Claims 25-30 and 33-44 are rejected under 35 U.S.C. 102 (e) as being anticipated by

Matsumoto et al. (U.S. Pat. No. 6,320,829).

As per claims 25, 26 and 33-44 Matsumoto discloses a copy of digital data from a digital

recording medium is controlled so as to prevent unauthorized copying. The digital data is transmitted

from a transmitter-side to a receiver-side (which is seen to read as Applicant's claimed invention

wherein it is stated that a contents rendering control for tailoring of electronic data or a method of

controlling filtering of contents data in reproducing or distributing);

wherein control information specifying predetermined filtering has been embedded in the contents

data as a digital watermark (see., col 4, lines 7-26, specifically wherein it is stated that the electronic

watermark information judgment device determines one of two copy restriction levels from the

electronic watermark information, Applicant duly note that the electronic watermark device rendering

control of the application information);

extracting the control information from the contents data; deciding how to execute the predetermined

filtering of the contents data based on the extracted control information; filtering a predetermined

portion of the contents data according to the decision; and reproducing or distributing of the filtered

contents data (see., col 4, lines 7-26, col 3, lines 35-67, col 16, lines 31-51, specifically judging

device);

As per claims 27, 28, 29, 30 and 32 Matsumoto discloses the claimed method wherein the

control information includes one or more items of level information for determining whether the

predetermined filtering is to be applied to a specific portion of the contents data; and the step for

Page 3

Application/Control Number: 09/659,551

Art Unit: 3621

filtering comprises determining whether the predetermined filtering is applied to a specific portion of

Page 4

the contents, based on one or more items of the level information included in the control information

(see., col 6, lines 5-60).

Claim Objections

5. Claim 31 is objected to as being dependent upon a rejected base claim, but would be allowable

if rewritten in independent form including all of the limitations of the base claim and any intervening

claims.

Conclusion

6. Any inquiry concerning this communication from the examiner should be directed to Pierre

Eddy Elisca at (703) 305-3987. The examiner can normally be reached on Tuesday to Friday from

6:30AM. to 5:00PM.

If any attempt to reach the examiner by telephone is unsuccessful, the examiner's supervisor,

James Trammell can be reached on (703) 305-9768.

Any response to this action should be mailed to:

Commissioner of patents and Trademarks

Washington, D.C. 20231

The Official Fax Number For TC-3600 is:

(703) 305-7687

Art Unit: 3621

Pierre Eddy Elisca

Patent Examiner

July 03, 2003